

The purpose of this survey is to summarize and expound on key areas of the Provider Settings Checklist for ID/DS/DD waivers in relation to the CMS Home and Community Based Services Final Rule. The Checklist was designed to help providers of waiver services begin to identify elements of their service delivery settings that may need adjustments in order to come into compliance with CMS's new expectations. Summarizing your findings here will aid DBHDS and DMAS in providing information to CMS regarding potential settings in Virginia which meet the requirements, as well as enabling agency staff to assist you in your efforts to achieve compliance with the new requirements.

Identifying Information

***1. Name of Provider Agency**

***2. Main Provider Address**

***3. Name and Title of Person Completing Survey**

***4. Phone Number of Person Completing Survey**

***5. Check all services your agency provides and answer each question for each service/setting. Please ensure you have reviewed and completed the provider checklist to help inform your response.**

- Residential Services (Group Home, Sponsored Home, Supervised Apartment)
- Day Support
- Prevocational
- Group Supported Employment

***6. The setting is integrated in and supports full access of individuals receiving HCBS to the greater community.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Explain how integrated into the "greater community" is your setting and what type of supports you offer to allow individuals full access to the "greater community."

***7. The setting was selected by the individual from among options including non-disability specific settings.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Explain what kind of documentation you keep to show that the individual chose to receive services in your setting and that the individual's choice of you as a provider was based on the individual's needs, preferences, and (if applicable) resources for available room and board.

***8. The setting ensures an individual's rights of privacy, dignity, respect and freedom from coercion and restraint.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

Explain how your agency ensures that an individuals's rights of privacy, dignity, respect and freedom from coercion and restraint are protected in your settings.

***9. The setting optimizes but does not regiment individual initiative, autonomy and independence in making life choices.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

How does your agency optimize, and not regiment, an individual's initiative, autonomy, and independence in making life choices, including but not limited to daily activieis, their physical environment and with whom to interact?

***10. Setting facilitates individual choice regarding services/supports and providers.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

How does your agency facilitate the individual's choice regarding services/supports and providers?

***11. The setting does not isolate individuals from those not receiving Medicaid HCBS in the broader community.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

How does your agency ensure that individuals receiving Medicaid HCBS are not isolated from others not receiving Medicaid HCBS in the broader community?

***12. The setting is physically accessible to the individual.**

| | Setting Fully Meets | Setting Could Meet with Minor Adjustments | Setting Does Not Meet |
|----------------------------|-----------------------|-------------------------------------------|-----------------------|
| Residential Services | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Day Support | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Prevocational | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Group Supported Employment | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

What steps has your agency taken to ensure that the service setting is ADA compliant and physically accessible to individuals you support?

13. Each individual's unit or dwelling is owned, rented or occupied via a legally enforceable agreement by the individual receiving services.

Setting Fully Meets

Setting Could Meet with Minor Adjustments

Setting Does Not Meet

Residential Services

What kind of provisions related to your setting's eviction or service termination process are detailed in your setting's lease, service/participation agreement, or other legally enforceable agreement that is given to the individual? How comparable are the eviction provisions and protections to Landlord/Tenant laws of your jurisdiction?

14. The individual has privacy in his/her sleeping or living unit; units have lockable entrances with keys for the individual and only appropriate staff; individual has choice of roommate; individual has freedom to furnish and decorate his/her living unit.

Setting Fully Meets

Setting Could Meet with Minor Adjustments

Setting Does Not Meet

Residential Services

Explain how your setting ensures that the individual has privacy in his/her sleeping or living unit. Describe how you ensure that each individual has a key to his/her living unit (and only appropriate staff as well). Explain how you ensure that individuals select their own roommate. Explain policies regarding individuals decorating and/or furnishing their own rooms as desired.

15. Individuals have freedom and support to control their own schedules, activities, and have access to food at any time.

Setting Fully Meets

Setting Could Meet with Minor Adjustments

Setting Does Not Meet

Residential Services

Explain how your setting ensures that individuals have the freedom and support to control their own schedules and activities, have access to food 24/7, and are able to have visitors of their choosing at any time.

Thank you for your participation. Please retain a hard copy of this survey until 3/17/2019.